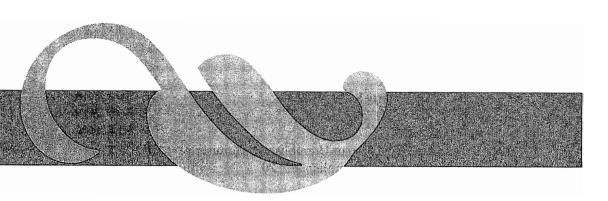


# **Publication**

of the American Psychological Association



## **Contents**

List of Tables and Figures xi
Foreword xiii
Preface xv
Editorial Staff xvii
Introduction 3
Organization of the Sixth Edition 4
Specific Changes in the Sixth Edition 4
How to Use the Publication Manual 6

### 1. Writing for the Behavioral and Social Sciences 9

### Types of Articles 9

- **1.01** Empirical Studies 10
- 1.02 Literature Reviews 10
- **1.03** Theoretical Articles 10
- **1.04** Methodological Articles 10
- **1.05** Case Studies *11*
- **1.06** Other Types of Articles 11

### Ethical and Legal Standards in Publishing 11

### Ensuring the Accuracy of Scientific Knowledge 12

- **1.07** Ethical Reporting of Research Results 12
- **1.08** Data Retention and Sharing 12
- **1.09** Duplicate and Piecemeal Publication of Data 13
- **1.10** Plagiarism and Self-Plagiarism 15

### Protecting the Rights and Welfare of Research Participants 16

- **1.11** Rights and Confidentiality of Research Participants 16
- **1.12** Conflict of Interest 17

	Protecting	g Intellectual Property Rights 18
		Publication Credit 18
	1.14	Reviewers 19
	1.15	Author's Copyright on an Unpublished Manuscript 19
		Planning for Ethical Compliance 20
2.	Manus	script Structure and Content 21
	Journal A	rticle Reporting Standards 21
		pt Elements 23
		Title 23
	2.02	Author's Name (Byline) and Institutional Affiliation 23
		Author Note 24
		Abstract 25
		Introduction 27
		Method 29
	2.07	Results 32
		Discussion 35
	2.09	Multiple Experiments 36
		Meta-Analyses 36
	2.11	References 37
	2.12	Footnotes 37
	2.13	Appendices and Supplemental Materials 38
	Sample P	apers 40
3.	Writin	g Clearly and Concisely 61
	Organizat	ion <i>61</i>
	3.01	Length 61
		Organizing a Manuscript With Headings 62
		Levels of Heading 62
	3.04	Seriation 63
	Writing S	tyle <i>65</i>
	3.05	Continuity in Presentation of Ideas 65
	3.06	Smoothness of Expression 65
	3.07	
		Economy of Expression 67
	3.09	Precision and Clarity 68
	3.09 3.10	Precision and Clarity 68 Linguistic Devices 70
	3.09 3.10 3.11	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70
	3.09 3.10 3.11 Reducing	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70
	3.09 3.10 3.11 Reducing General G	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70 Suidelines for Reducing Bias 71
	3.09 3.10 3.11 Reducing General G	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70 Guidelines for Reducing Bias 71 eline 1: Describe at the Appropriate Level of Specificity 71
	3.09 3.10 3.11 Reducing General G Guide Guide	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70 Guidelines for Reducing Bias 71 eline 1: Describe at the Appropriate Level of Specificity 71 eline 2: Be Sensitive to Labels 72
	3.09 3.10 3.11 Reducing General G Guide Guide	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70 Guidelines for Reducing Bias 71 eline 1: Describe at the Appropriate Level of Specificity 71 eline 2: Be Sensitive to Labels 72 eline 3: Acknowledge Participation 73
	3.09 3.10 3.11 Reducing General G Guide Guide Guide Reducing	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70 Guidelines for Reducing Bias 71 eline 1: Describe at the Appropriate Level of Specificity 71 eline 2: Be Sensitive to Labels 72 eline 3: Acknowledge Participation 73 Bias by Topic 73
	3.09 3.10 3.11 Reducing General Guide Guide Guide Reducing 3.12	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70 Guidelines for Reducing Bias 71 eline 1: Describe at the Appropriate Level of Specificity 71 eline 2: Be Sensitive to Labels 72 eline 3: Acknowledge Participation 73 Bias by Topic 73 Gender 73
	3.09 3.10 3.11 Reducing General G Guide Guide Guide Reducing 3.12 3.13	Precision and Clarity 68 Linguistic Devices 70 Strategies to Improve Writing Style 70 Bias in Language 70 Guidelines for Reducing Bias 71 eline 1: Describe at the Appropriate Level of Specificity 71 eline 2: Be Sensitive to Labels 72 eline 3: Acknowledge Participation 73 Bias by Topic 73

	3.15	Disabilities 76
	3.16	Age 76
	3.17	Historical and Interpretive Inaccuracies 76
	Grammar	and Usage 77
		Verbs 77
	3.19	Agreement of Subject and Verb 78
		Pronouns 79
	3.21	Misplaced and Dangling Modifiers and Use of Adverbs 81
		Relative Pronouns and Subordinate Conjunctions 83
		Parallel Construction 84
١.	The M	echanics of Style 87
	Punctuati	on <i>87</i>
	4.01	Spacing After Punctuation Marks 87
		Period 88
	4.03	Comma 88
	4.04	Semicolon 89
	4.05	Colon 90
	4.06	Dash 90
	4.07	Quotation Marks 91
		Double or Single Quotation Marks 92
		Parentheses 93
		Brackets 94
		Slash 95
	Spelling	96
	4.12	Preferred Spelling 96
	4.13	Hyphenation 97
	Capitaliza	tion <i>101</i>
	4.14	Words Beginning a Sentence 101
		Major Words in Titles and Headings 101
		Proper Nouns and Trade Names 102
		Nouns Followed by Numerals or Letters 103
		Titles of Tests 103
		Names of Conditions or Groups in an Experiment 104
		Names of Factors, Variables, and Effects 104
	Italics 1	
		Use of Italics 104
	Abbreviat	
		Use of Abbreviations 106
		Explanation of Abbreviations 107
		Abbreviations Accepted as Words 107
		Abbreviations Used Often in APA Journals 108
		Latin Abbreviations 108
		Scientific Abbreviations 108
		Other Abbreviations 110 Plurals of Abbreviations 110
	4.30	Abbreviations Beginning a Sentence 111

	Numbers	111	
	4.31	Numbers Expressed in Numerals 111	
	4.32	Numbers Expressed in Words 112	
		Combining Numerals and Words to Express Numbers	112
		Ordinal Numbers 113	
		Decimal Fractions 113	
		Roman Numerals 114	
		Commas in Numbers 114	
		Plurals of Numbers 114	
	Metrication		
		Policy on Metrication 114	
		Style for Metric Units 115	
		I and Mathematical Copy 116	
		Selecting Effective Presentation 116	
		References for Statistics 116 Formulas 116	
		Statistics in Text 116	
		Statistical Symbols 117	
		Spacing, Alignment, and Punctuation 118	
	Equations		
	•	Equations in Text 123	
	4.48		
	4.49	• • •	
_			
<b>5</b> .	Displa	ying Results 125	
		uidance on Tables and Figures 125	
		Purposes of Data Displays 125	
		Design and Preparation of a Data Display 126	
		Graphical Versus Textual Presentation 126	
		Formatting Tables and Figures 127	
		Table and Figure Numbers 127	
	5.06	1 ,	
	<b>Tables</b> 5.07	128	
	0.0.	Conciseness in Tables 128 Table Layout 128	
		Table Layout 128 Standard Forms 129	
		Relation of Tables and Text 130	
		Relation Between Tables 130	
		Table Titles 133	
		Table Headings 133	
		Table Body 137	
		Confidence Intervals in Tables 138	
	5.16	Table Notes 138	
		Ruling of Tables 141	
	5.18	71	
	5.19	Table Checklist 150	
	Figures	150	
	5.20	Principles of Figure Use and Construction 150	

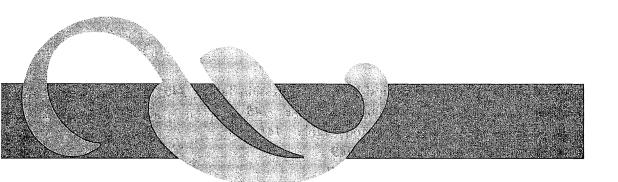
5.21	Types of Figures 151
	Standards for Figures 152
5.23	Figure Legends and Captions 158
5.24	Planning Figures 161
5.25	Preparation of Figures 161
Presentin	g Electrophysiological, Radiological, and Other Biological Data 161
5.26	Electrophysiological Data 162
5.27	Radiological (Imaging) Data 162
5.28	Genetic Data 165
5.29	Photographs 165
5.30	Figure Checklist 167
• "."	
Crediti	ing Sources 169
When to (	Cite 169
6.01	Plagiarism 170
	Self-Plagiarism 170
Quotina a	nd Paraphrasing 170
_	Direct Quotation of Sources 170
	Paraphrasing Material 171
	Direct Quotations of Online Material Without Pagination 171
	Accuracy of Quotations 172
	Changes From the Source Requiring No Explanation 172
	Changes From the Source Requiring Explanation 172
	Citations Within Quotations 173
	Permission to Quote, Reprint, or Adapt 173
	erences in Text 174
-	One Work by One Author 174
	One Work by Multiple Authors 175
	Groups as Authors 176
	Authors With the Same Surname 176
	Works With No Identified Author or With an
	Anonymous Author 176
6.16	Two or More Works Within the Same Parentheses 177
6.17	Secondary Sources 178
	Classical Works 178
6.19	Citing Specific Parts of a Source 179
6.20	Personal Communications 179
6.21	Citations in Parenthetical Material 179
Reference	e List 180
6.22	Construction of an Accurate and Complete Reference List 180
	Consistency 181
6.24	Using the Archival Copy or Version of Record 181
	Order of References in the Reference List 181
6.26	References Included in a Meta-Analysis 183
Reference	e Components 183
	Author and Editor Information 184
6.28	Publication Date 185

6.

	<b>6.29</b> Title <i>185</i>
	<b>6.30</b> Publication Information 186
	<b>6.31</b> Electronic Sources and Locator Information 187
	<b>6.32</b> Providing Publication Data for Electronic Sources 189
7.	Reference Examples 193
	Types and Variations 193
	Examples by Type 198
	<b>7.01</b> Periodicals <i>198</i>
	<b>7.02</b> Books, Reference Books, and Book Chapters 202
	<b>7.03</b> Technical and Research Reports 205
	<b>7.04</b> Meetings and Symposia 206
	<b>7.05</b> Doctoral Dissertations and Master's Theses 207
	<b>7.06</b> Reviews and Peer Commentary 208
	7.07 Audiovisual Media 209
	7.08 Data Sets, Software, Measurement Instruments, and Apparatus 210
	7.09 Unpublished and Informally Published Works 211
	7.10 Archival Documents and Collections 212
	7.11 Internet Message Boards, Electronic Mailing Lists, and Other Online
	Communities 214
	Appendix 7.1: References to Legal Materials 216
	<b>A7.01</b> General Forms <i>216</i>
	A7.02 Text Citations of Legal Materials 217
	A7.03 Court Decisions (Bluebook Rule 10) 217
	A7.04 Statutes (Bluebook Rule 12) 219
	<b>A7.05</b> Legislative Materials ( <i>Bluebook</i> Rule 13) 221 <b>A7.06</b> Administrative and Executive Materials ( <i>Bluebook</i> Rule 14) 223
	A7.00 Administrative and Executive Materials (Binebook Rule 14) 223
	A7.07 Patents 224
8.	The Publication Process 225
	Editorial Process 225
	<b>8.01</b> Peer Review <i>225</i>
	<b>8.02</b> Manuscript Acceptance or Rejection <i>226</i>
	Author Responsibilities 228
	<b>8.03</b> Preparing the Manuscript for Submission <i>228</i>
	<b>8.04</b> Complying With Ethical, Legal, and Policy Requirements 231
	8.05 Publisher Policy Requirements 236
	<b>8.06</b> Working With the Publisher When the Manuscript
	Has Been Accepted 239
	8.07 Checklist for Manuscript Submission 240
	Appendix: Journal Article Reporting Standards (JARS), Meta-Analysis Reportin Standards (MARS), and Flow of Participants Through Each Stage of an Experiment or Quasi-Experiment 245

Index 259

References 255



# List of Tables and Figures

### **Tables**

Table 2.1	Author bylines 24
Table 3.1	Format for Five Levels of Heading in APA Journals 62
Table 4.1	Guide to Hyphenating Terms 98
Table 4.2	Prefixes and Suffixes That Do Not Require Hyphens 99
Table 4.3	Prefixed Words That Require Hyphens 100
Table 4.4	Common Abbreviations for Units of Measurement 109
Table 4.5	Statistical Abbreviations and Symbols 119
Table 5.1	Basic Components of a Table 129
Table 5.2	Sample of Effective Table Layout 130
Table 5.3	Sample Factor Loadings Table (With Rotation Method Specified) 131
Table 5.4	Sample Table With Detailed Specifications of Complex Experimental Designs 134
Table 5.5	Sample Table Display of a Sample's Characteristics 135
Table 5.6	Sample Table of Correlations in Which the Values for Two Samples Are Presented 136
Table 5.7	Sample Table of Results of Fitting Mathematical Models 137
Table 5.8	Sample Table Including Confidence Intervals With Brackets 139
Table 5.9	Sample Table Including Confidence Intervals With Upper and Lower Limits 140
Table 5.10	Sample Table Display of Psychometric Properties of Key Outcome Variables 142
<b>Table 5.11</b>	Sample Table of One-Degree-of-Freedom Statistical Contrasts 143
<b>Table 5.12</b>	Sample Regression Table 144

Figure 8.2

Figure 8.3

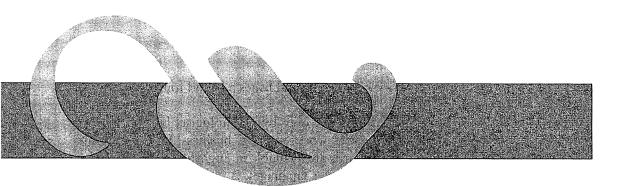
Figure 8.4

LIST OF TAE	BLES AND FIGURES
Table E 42	County Historical Makinla Decoration Table 445
Table 5.13	Sample Hierarchical Multiple Regression Table 145
Table 5.14	Sample Model Comparison Table 146
Table 5.15	Sample Multilevel Model Table 147
Table 5.16	Sample Word Table 149
Table 6.1	Basic Citation Styles 177
<b>Figures</b>	
Figure 2.1	Sample One-Experiment Paper 41
Figure 2.2	Sample Two-Experiment Paper 54
Figure 2.3	Sample Meta-Analysis 57
Figure 5.1	Complex Theoretical Formulations 152
Figure 5.2	Theory Through a Set of Path Models 153
Figure 5.3	Sampling and Flow of Subjects Through a Randomized Clinical Trial or Other Experiment 154
Figure 5.4	Flow of Participants in a Survey Study 155
Figure 5.5	Results of One-Way Design Using Error Bars to Represent Precision of the Resulting Estimates 156
Figure 5.6	Empirical Results From a Complex Multivariate Model 157
Figure 5.7	Kinds of Responses Being Gathered and Scoring Methods 158
Figure 5.8	Details of an Experimental Laboratory Set-Up 159
Figure 5.9	Details of Experimental Procedure 160
Figure 5.10	Event-Related Brain Potential Data 163
Figure 5.11	Neuroimaging Data With Details of Processing Information 164
Figure 5.12	Display of Genetic Material—Physical Map 166
Figure 6.1	Example of Appropriate Citation Level 170
Figure 6.2	Location of Digital Object Identifier (DOI) in Journal Article 189
Figure 6.3	Location of Digital Object Identifier for Article on Database Landing Page 190
Figure 6.4	Example of Reference in Electronic Document With Digital Object Identifier Hidden Behind a Button 191
Figure 6.5	Digital Object Identifier Resolver 191
Figure 8.1	Sample Cover Letter 232

APA Compliance With Ethical Principles Form 233

APA Copyright Permission Request Form 237

APA Disclosure of Interests Form 235



# **Foreword**

rom its inception as a brief journal article in 1929, the *Publication Manual of the American Psychological Association* has been designed to advance scholarship by setting sound and rigorous standards for scientific communication. The creators of the 1929 manuscript included psychologists, anthropologists, and business managers who convened under the sponsorship of the National Research Council. They sought to establish a simple set of procedures, or *style rules*, that would codify the many components of scientific writing to increase the ease of reading comprehension. This goal was subsequently embraced not only by psychologists but also by scholars in other social and behavioral sciences who wished to enhance the dissemination of knowledge in their respective fields.

Uniform style helps us to cull articles quickly for key points and findings. Rules of style in scientific writing encourage full disclosure of essential information and allow us to dispense with minor distractions. Style helps us express the key elements of quantitative results, choose the graphic form that will best suit our analyses, report critical details of our research protocol, and describe individuals with accuracy and respect. It removes the distraction of puzzling over the correct punctuation for a reference or the proper form for numbers in text. Those elements are codified in the rules we follow for clear communication, allowing us to focus our intellectual energy on the substance of our research.

Today, APA Style sets a standard that is realized in APA journals, books, and electronic databases. In my tenure as APA publisher, the APA Journals program has grown from one that publishes 17,700 pages a year to one that publishes 37,000 pages a year. The APA Books program has grown from 12 books to over 1,214 books as well as 160 psychotherapy training videos. APA electronic products have grown from one database to five databases that offer users immediate connection to abstracts, books, journals, reviews, and quality gray literature. This profusion of scholarship has been supported and defined by the guidance provided in the *Publication Manual*. Together with the *APA Dictionary of* 



Psychology and Encyclopedia of Psychology, it establishes a sound foundation for the advancement of the field.

The *Publication Manual* is consulted not only by psychologists but also by students and researchers in education, social work, nursing, business, and many other behavioral and social sciences. Its standards are available in English as well as Spanish, Portuguese, Korean, Chinese, and many other languages. A central focus of deliberation for this edition has been the way in which web-based technological innovations have altered the way we conceptualize, conduct, and consume scientific research. The sixth edition of the *Publication Manual* is devoted in large part to interpreting these advances and incorporating them into the style lexicon. It is my hope that, in concert with our other reference products, it will serve as a solid base for all of your scientific communications.

Gary R. VandenBos, PhD Publisher, American Psychological Association

# **Preface**

o better understand the complex changes in scientific publishing and address them in this edition, many experts and professional groups were consulted. We began the revision process in 2006 by looking closely at the fifth edition, analyzing more than five years of accumulated user feedback; evaluating published criticism; and commissioning reviews from senior editors in psychology, education, nursing, history, and business. After deliberation of and debate about these comments, the APA Publications and Communications Board set broad parameters for the revision and appointed a panel of experienced editors and scientists from diverse specialty areas to collaborate with dedicated staff on the revision.

The six-member *Publication Manual* Revision Task Force met for the first time in February 2007. They determined that revisions were needed in seven key areas: ethics, journal article reporting standards, reducing bias in language, graphics, writing style, references, and statistics. Working groups of experts were established to support the work of the task force in each area.

As the revision progressed, APA staff continued to solicit recommendations for revision from the APA Council of Editors, from *Publication Manual* users at the www.apastyle.org website, from APA members at professional meetings, and from APA boards and committees. Those recommendations were passed along to working group and task force members for consideration.

Thus, this edition of the *Publication Manual* is the result of creative collaboration with many groups and individuals. We must first thank the members of the *Publication Manual* Revision Task Force. They devoted many hours to analyzing reviews, considering the scholarly publishing climate, identifying topics in need of greater coverage, meeting with working group members to generate and revise text, critiquing and discussing new drafts, and poring over the final draft with a persistent commitment to getting it right. We are fortunate to have benefited so thoroughly from their enthusiastic and generous support of this project.

We are also grateful for the contributions that came from the working groups of experts who helped shape this edition. They dialed in faithfully to join Webex conference calls, collaborating to ensure accurate and comprehensive coverage for their respective areas. We benefited from the welcome blend of tact, humor, and insight that they brought to this project.

Early in the revision process, we solicited critiques from selected core users, that is, from senior editors and writers in the areas of psychology, nursing, education, and business. The overall recommendations gained from those individuals greatly influenced the approach taken in planning this edition of the *Publication Manual*. For sharing their insights and suggestions, we thank Barney Beins, Geoff Cumming, Janet Shibley Hyde, Judy Nemes, Kathryn Riley, Henry Roediger III, Peter W. Schroth, Martha Storandt, and Sandra P. Thomas. On a related note, we are indebted to Linda Beebe and the PsycINFO staff for their invaluable guidance on how evolving technologies continue to affect the reading, storage, and retrieval of scholarly work.

To guide us in our commitment to provide sound and timely instruction on scientific reporting, we solicited comments from several APA boards and committees. We are grateful for recommendations received from the APA Committee on Ethnic Minority Affairs; the APA Board of Scientific Affairs; the APA History Oversight Committee; the APA Committee on Disability Issues in Psychology; the American Psychological Association of Graduate Students; the APA Task Force on Gender Identity, Gender Variance, and Intersex Conditions; and the APA Committee on Socioeconomic Status.

Several writing instructors and coaches contacted us with suggestions for making APA Style more accessible for students. For taking the time to share their recommendations, we are most grateful to Dee Seligman, Wendy Packman, Scott Hines, Geeta Patangay, Mylea Charvat, and Jeff Zuckerman.

Last, we thank the APA Office of Publications and Databases staff for their many contributions to this edition, including Paige Jackson, Susan Herman, Annie Hill, Harriet Kaplan, Edward Porter, Shenyun Wu, Amy Pearson, Ron Teeter, Hal Warren, Beverly Jamison, Susan Harris, and Julia Frank-McNeil. Nora Kisch, Julianne Rovesti, Peter Gaviorno, and the entire sales and marketing team have worked tirelessly to inform the broad social science community about the new edition. We are particularly grateful to Jennifer Macomber for her skilled and meticulous care in shepherding the manuscript through production. Finally, we thank Anne Woodworth Gasque, who managed the process with ingenuity and grace, for her superb stewardship of this project.

Mary Lynn Skutley Editorial Director, APA Books

Gary R. VandenBos, PhD Publisher, American Psychological Association