

M. Tamer Özsü • Patrick Valduriez

# Principles of Distributed Database Systems

Third Edition



Springer

# Preface

It has been almost twenty years since the first edition of this book appeared, and ten years since we released the second edition. As one can imagine, in a fast changing area such as this, there have been significant changes in the intervening period. Distributed data management went from a potentially significant technology to one that is common place. The advent of the Internet and the World Wide Web have certainly changed the way we typically look at distribution. The emergence in recent years of different forms of distributed computing, exemplified by data streams and cloud computing, has regenerated interest in distributed data management. Thus, it was time for a major revision of the material.

We started to work on this edition five years ago, and it has taken quite a while to complete the work. The end result, however, is a book that has been heavily revised – while we maintained and updated the core chapters, we have also added new ones. The major changes are the following:

1. Database integration and querying is now treated in much more detail, reflecting the attention these topics have received in the community in the past decade. Chapter 4 focuses on the integration process, while Chapter 9 discusses querying over multidatabase systems.
2. The previous editions had only brief discussion of data replication protocols. This topic is now covered in a separate chapter (Chapter 13) where we provide an in-depth discussion of the protocols and how they can be integrated with transaction management.
3. Peer-to-peer data management is discussed in depth in Chapter 16. These systems have become an important and interesting architectural alternative to classical distributed database systems. Although the early distributed database systems architectures followed the peer-to-peer paradigm, the modern incarnation of these systems have fundamentally different characteristics, so they deserve in-depth discussion in a chapter of their own.
4. Web data management is discussed in Chapter 17. This is a difficult topic to cover since there is no unifying framework. We discuss various aspects

of the topic ranging from web models to search engines to distributed XML processing.

5. Earlier editions contained a chapter where we discussed “recent issues” at the time. In this edition, we again have a similar chapter (Chapter 18) where we cover stream data management and cloud computing. These topics are still in a flux and are subjects of considerable ongoing research. We highlight the issues and the potential research directions.

The resulting manuscript strikes a balance between our two objectives, namely to address new and emerging issues, and maintain the main characteristics of the book in addressing the principles of distributed data management.

The organization of the book can be divided into two major parts. The first part covers the fundamental principles of distributed data management and consist of Chapters 1 to 14. Chapter 2 in this part covers the background and can be skipped if the students already have sufficient knowledge of the relational database concepts and the computer network technology. The only part of this chapter that is essential is Example 2.3, which introduces the running example that we use throughout much of the book. The second part covers more advanced topics and includes Chapters 15 – 18. What one covers in a course depends very much on the duration and the course objectives. If the course aims to discuss the fundamental techniques, then it might cover Chapters 1, 3, 5, 6–8, 10–12. An extended coverage would include, in addition to the above, Chapters 4, 9, and 13. Courses that have time to cover more material can selectively pick one or more of Chapters 15 – 18 from the second part.

Many colleagues have assisted with this edition of the book. S. Keshav (University of Waterloo) has read and provided many suggestions to update the sections on computer networks. Renée Miller (University of Toronto) and Erhard Rahm (University of Leipzig) read an early draft of Chapter 4 and provided many comments, Alon Halevy (Google) answered a number of questions about this chapter and provided a draft copy of his upcoming book on this topic as well as reading and providing feedback on Chapter 9, Avigdor Gal (Technion) also reviewed and critiqued this chapter very thoroughly. Matthias Jarke and Xiang Li (University of Aachen), Gottfried Vossen (University of Muenster), Erhard Rahm and Andreas Thor (University of Leipzig) contributed exercises to this chapter. Hubert Naacke (University of Paris 6) contributed to the section on heterogeneous cost modeling and Fabio Porto (LNCC, Petropolis) to the section on adaptive query processing of Chapter 9. Data replication (Chapter 13) could not have been written without the assistance of Gustavo Alonso (ETH Zürich) and Bettina Kemme (McGill University). Tamer spent four months in Spring 2006 visiting Gustavo where work on this chapter began and involved many long discussions. Bettina read multiple iterations of this chapter over the next one year criticizing everything and pointing out better ways of explaining the material. Esther Pacitti (University of Montpellier) also contributed to this chapter, both by reviewing it and by providing background material; she also contributed to the section on replication in database clusters in Chapter 14. Ricardo Jimenez-Peris also contributed to that chapter in the section on fault-tolerance in database clusters. Khuzaima Daudjee (University of Waterloo) read and provided

comments on this chapter as well. Chapter 15 on Distributed Object Database Management was reviewed by Serge Abiteboul (INRIA), who provided important critique of the material and suggestions for its improvement. Peer-to-peer data management (Chapter 16) owes a lot to discussions with Beng Chin Ooi (National University of Singapore) during the four months Tamer was visiting NUS in the fall of 2006. The section of Chapter 16 on query processing in P2P systems uses material from the PhD work of Reza Akbarinia (INRIA) and Wenceslao Palma (PUC-Valparaiso, Chile) while the section on replication uses material from the PhD work of Vidal Martins (PUCPR, Curitiba). The distributed XML processing section of Chapter 17 uses material from the PhD work of Ning Zhang (Facebook) and Patrick Kling at the University of Waterloo, and Ying Zhang at CWI. All three of them also read the material and provided significant feedback. Victor Muntés i Mulero (Universitat Politècnica de Catalunya) contributed to the exercises in that chapter. Özgür Ulusoy (Bilkent University) provided comments and corrections on Chapters 16 and 17. Data stream management section of Chapter 18 draws from the PhD work of Lukasz Golab (AT&T Labs-Research), and Yingying Tao at the University of Waterloo. Walid Aref (Purdue University) and Avigdor Gal (Technion) used the draft of the book in their courses, which was very helpful in debugging certain parts. We thank them, as well as many colleagues who had helped out with the first two editions, for all their assistance. We have not always followed their advice, and, needless to say, the resulting problems and errors are ours. Students in two courses at the University of Waterloo (Web Data Management in Winter 2005, and Internet-Scale Data Distribution in Fall 2005) wrote surveys as part of their coursework that were very helpful in structuring some chapters. Tamer taught courses at ETH Zürich (PDDBS – Parallel and Distributed Databases in Spring 2006) and at NUS (CS5225 – Parallel and Distributed Database Systems in Fall 2010) using parts of this edition. We thank students in all these courses for their contributions and their patience as they had to deal with chapters that were works-in-progress – the material got cleaned considerably as a result of these teaching experiences.

You will note that the publisher of the third edition of the book is different than the first two editions. Pearson, our previous publisher, decided not to be involved with the third edition. Springer subsequently showed considerable interest in the book. We would like to thank Susan Lagerstrom-Fife and Jennifer Evans of Springer for their lightning-fast decision to publish the book, and Jennifer Mauer for a ton of hand-holding during the conversion process. We would also like to thank Tracy Dunkelberger of Pearson who shepherded the reversal of the copyright to us without delay.

As in earlier editions, we will have presentation slides that can be used to teach from the book as well as solutions to most of the exercises. These will be available from Springer to instructors who adopt the book and there will be a link to them from the book's site at [springer.com](http://springer.com).

Finally, we would be very interested to hear your comments and suggestions regarding the material. We welcome any feedback, but we would particularly like to receive feedback on the following aspects:

1. any errors that may have remained despite our best efforts (although we hope there are not many);
2. any topics that should no longer be included and any topics that should be added or expanded; and
3. any exercises that you may have designed that you would like to be included in the book.

*M. Tamer Özsu* ([Tamer.Ozsu@uwaterloo.ca](mailto:Tamer.Ozsu@uwaterloo.ca))

*Patrick Valduriez* ([Patrick.Valduriez@inria.fr](mailto:Patrick.Valduriez@inria.fr))

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